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BY EXPRESS MAIL ON
November 21, 2001
(ET 256 765 549 US)

Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Manabu OUMI (5) Takashi NIWA
(2) Susumu ICHIHARA (6) Nobuyuki KASAMA
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TITLE: METHOD OF FABRICATING OPTICAL APERTURE AND METHOD OF
FABRICATING PROBE FOR NEAR FIELD LIGHT DEVICE

DOCKET NO: S004-4470

S I R:

Kindly find enclosed herewith for filing the documents
checked below. Any fees or charges not covered by the
accompanying check should be charged to our Deposit Account
No. 01-0268.

APPLICABLE ITEMS CHECKED:

PATENT APPLICATION (pages 1-59 of specification, 24
claims (pages 55-59) and abstract of the
disclosure (pg.60)

DESIGN APPLICATION (specification, claim, declaration and
power of attorney and photograph(s))

FORMAL DRAWINGS (32 sheets)

INFORMAL DRAWINGS (sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT
(to be recorded and returned to the undersigned)

XX PRIORITY CLAIM - applicant(s) claim the benefit of priority of the following application(s):

Japanese Patent Appln. No. 2000-355972 filed Nov. 22, 2000
Japanese Patent Appln. No. 2000-367560 filed Dec. 1, 2000
Japanese Patent Appln. No. 2000-373307 filed Dec. 7, 2000
Japanese Patent Appln. No. 2000-377253 filed Dec. 12, 2000
Japanese Patent Appln. No. 2000-377254 filed Dec. 12, 2000

XX PRIORITY DOCUMENT(s)

Enclosed Not Enclosed

VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

EXPRESS MAIL CERTIFICATION

OTHER -

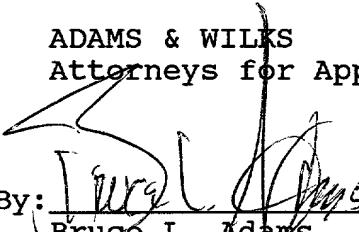
FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<input checked="" type="checkbox"/> Basic Filing Fee	\$ 740.00	\$
<input type="checkbox"/> 0 Independent Claims in Excess of 3	\$	\$
<input type="checkbox"/> 4 Total Claims in Excess of 20	\$ 72.00	\$
<input type="checkbox"/> Multiple Claim Fee	\$	\$
<input type="checkbox"/> Assignment Recordal Fee	\$	\$
TOTAL	\$ 812.00	\$

Respectfully submitted,

ADAMS & WILKS
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By: 
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Re: New patent application of Manabu OUMI et al.

for METHOD OF FABRICATING OPTICAL APERTURE AND METHOD OF
FABRICATING PROBE FOR NEAR FIELD LIGHT DEVICE

comprising transmittal letter, title, specification (pgs.1-59),
24 claims (pgs.55-59), abstract of the disclosure (pg.60), 32
sheets of formal drawings containing thereon Figs. 1-32b, express
mail certification and check in the amount \$812.00

Attorney's Docket No: S004-4470

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: ET 256 765 549 US

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Michael Ruas